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PTO/SB/21 (08-00)

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Approved through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/919,768
Filing Date	July 31, 2001
First Named Inventor	Grainger, Jeffry J.
Group Art Unit	2161
Examiner Name	To Be Assigned
Attorney Docket Number	020313-000530US

Total Number of Pages in This Submission

1

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application).	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Request to Correct Filing Receipt; Redlined Corrected Copy of Filing Receipt; Initial Application Data Sheet; Supplemental Application Data Sheet; Return Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Chad R. Walsh	Reg. No. 43,235
Signature		
Date	August 6, 2002	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 6 2002

Typed or printed name	Kathy Johnston		
Signature		Date	August 6 2002

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PA 3200058 v1



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**PATENT**  
Attorney Docket No.: 020313-000530US

On August 8, 2002  
By: [Signature]

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

JEFFRY J. GRAINGER et al.

Application No.: 09/919,768

Filed: July 31, 2001

For: COMPUTER IMPLEMENTED  
METHOD OF MANAGING INFORMATION  
DISCLOSURE STATEMENTS

Examiner: To Be Assigned

Art Unit: 2161

**REQUEST FOR CORRECTED FILING  
RECEIPT**

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Assistant Commissioner for Patents  
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Washington, D.C. 20231

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Sir:

Attached is a redlined corrected copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in the Filing Receipt in the "Domestic Priority" section. As noted on the enclosed copies of the initial and Supplemental Application Data Sheets as filed, this application does not claim priority to 60/253,360, filed 11/27/2000. The correct "Domestic Priority" should read as follows:

THIS APPLICATION IS A CIP OF 09/733,616 12/07/2000

Applicants respectfully request correction of the Filing Receipt. The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,

[Signature]

Chad R. Walsh  
Reg. No. 43,235

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CRW/km / PA 3200035 v1



AUG 15 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/919,768	07/31/2001	2161	435	020313-000530US	23	19	1

CONFIRMATION NO. 4992

UPDATED FILING RECEIPT



\*OC00000007180733\*

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EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

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Date Mailed: 12/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Jeffrey J. Grainger, Portola Valley, CA;  
James R. Shay, Seattle, WA;  
Cecily Anne Snyder, San Francisco, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/733,616 12/07/2000  
~~AND CLAIMS BENEFIT OF 09/253,300 11/27/2000~~

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**Foreign Applications**

If Required, Foreign Filing License Granted 08/22/2001

Projected Publication Date: 05/30/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title**

Computer implemented method of managing information disclosure statements

Preliminary Class

705

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Bib Data Sheet

CONFIRMATION NO. 4992

<b>SERIAL NUMBER</b> 09/919,768	<b>FILING DATE</b> 07/31/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3622	<b>ATTORNEY DOCKET NO.</b> 020313-000530US
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**APPLICANTS**

Jeffry J. Grainger, Portola Valley, CA;  
James R. Shay, Seattle, WA;  
Cecily Anne Snyder, San Francisco, CA;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CIP OF 09/733,616 12/07/2000

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\***  
\*\* 08/22/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 23	<b>TOTAL CLAIMS</b> 19	<b>INDEPENDENT CLAIMS</b> 1
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**

20350

**TITLE**

Computer implemented method of managing information disclosure statements

<b>FILING FEE RECEIVED</b> 435	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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